

Grants Activities Reports

Tab 3

Background:

- **Independent Audit Status Report** – Grants Management Staff will review the Independent Audit Status report which summarizes the status of desk reviews of annual independent audits submitted by grantees.
- **Grants Monitoring Exceptions Report** – The Grants Monitoring Exceptions Report enclosed summarizes concerns noted by TCDD Grants Management staff in their ongoing monitoring activities, and the status of resolving those concerns.

Executive Committee

Agenda Item 6.

Expected Action:

The Executive Committee will review the information provided and may provide guidance to staff.

Council

Agenda Item 13. A.

Expected Action:

The Council will receive a report on the Executive Committee discussion.

TEXAS COUNCIL FOR DEVELOPMENTAL DISABILITIES

INDEPENDENT AUDIT STATUS REPORT

GRANTEE	FYE	DATE RECEIVED	AUDIT FIRM	EXCEPTIONS NOTED	RECOMMENDATIONS/ RESOLUTIONS
Baylor College of Medicine	6/30/2011	1/18/2012	Deloitte & Touche LLP	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
Brighton School, Inc.	8/31/2011	1/6/2012	Cundiff, Rogers & Solt	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
Community Healthcore	8/31/2011	1/31/2012	Davis, Kinard & C., P.C.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
Easter Seals	8/31/2011	12/27/2011	PMB Helin Donovan, LLP.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
Family to Family Network Inc	6/30/2011	11/15/2011	Tribolet Fuller & Co., PLLC	None.	None/Done.
Family to Family Network Inc	6/30/2010	11/15/2011	Tribolet Fuller & Co., PLLC	None.	None/Done.

Key: Audits were submitted to TCDD during the fiscal year (Oct. 1, 2011 – Sept. 30, 2012). Independent Audit Reports are due not later than nine months after the end of the grantee's fiscal year(s) for which an audit is performed. This document includes audits that were received after the due date, but during the fiscal year.

GRANTEE	FYE	DATE RECEIVED	AUDIT FIRM	EXCEPTIONS NOTED	RECOMMENDATIONS/ RESOLUTIONS
Parents Anonymous, Inc.	9/30/2010	9/22/2011	Smith Marion & Co., CPA	None.	Don Mikeska, recommended obtaining a copy of the management letter and a copy of the required auditor communication with those charged in governance of audit. After speaking with the auditor on 1/3/12, no management letter was prepared, because there were no deficiencies. A copy of the required auditor communication with those charged in governance of audit was received 1/4/12.
Region 17 ESC	8/31/2011	12/22/2011	Bolinger, Segars, Gilbert & Moss, LLP	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
SafePlace	12/31/2011	6/5/2012	Atchley & Associates, LLP	None.	Forward for Desk Review.
Syracuse University	6/30/2010	4/16/2012	KPMG LLP	None.	None/Done.
Syracuse University	6/30/2011	4/16/2012	KPMG LLP	None.	None/Done.
Texas A&M Research Foundation	8/30/2011	1/2/2012	Ingram, Wallis & Co., P.C.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.

Key: Audits were submitted to TCDD during the fiscal year (Oct. 1, 2011 – Sept. 30, 2012). Independent Audit Reports are due not later than nine months after the end of the grantee's fiscal year(s) for which an audit is performed. This document includes audits that were received after the due date, but during the fiscal year.

GRANTEE	FYE	DATE RECEIVED	AUDIT FIRM	EXCEPTIONS NOTED	RECOMMENDATIONS/ RESOLUTIONS
Texas A&M Research Foundation	8/30/2010	1/3/2012	Ingram, Wallis & Co., P.C.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
Texas Tech University	8/31/2011	5/7/2012	State of TX	None.	None/Done.
The Arc of Greater Tarrant County	12/31/2009	11/2/2011	The Walton Group, LLC	None.	None/Done.
The Arc of Greater Tarrant County	12/31/2010	11/2/2011	The Walton Group, LLC	None.	None/Done.
VSA Arts of Texas	9/30/2011	4/20/2012	Monday N. Rufus, P.C.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.
VSA Arts of Texas	9/30/2010	4/20/2012	Monday N. Rufus, P.C.	None.	The last two audits and the current audit have an unqualified opinion and no concerns noted by TCDD on this audit; therefore, per TCDD procedures, this audit isn't required to be sent to Don Mikeska, CPA, for desk review.

Key: Audits were submitted to TCDD during the fiscal year (Oct. 1, 2011 – Sept. 30, 2012). Independent Audit Reports are due not later than nine months after the end of the grantee's fiscal year(s) for which an audit is performed. This document includes audits that were received after the due date, but during the fiscal year.

GRANTS MONITORING EXCEPTIONS REPORT

GRANTEE <i>PROJECT TITLE</i>	TYPE OF ONSITE	DATE OF ONSITE	CONCERNS NOTED	CORRECTIVE ACTIONS	STATUS
Any Baby Can <i>Health and Fitness</i>	Initial	03/20/12	PARs, PAC; Consultant & lease agreements; Photocopy log, Equipment Inventory and Utility invoices; and venue lease	Documents requested: 3/20/12 Documents received: 5/30/12	Complete
Texas Advocates <i>New LDAST</i>	Initial	03/21/12	PARs; PAC; Consultant agreements; and utility invoice/documentation	Documents requested: 4/15/12 Documents received: 5/21/12	Complete
Arc of Texas <i>New LDAST</i>	Initial	03/21/12	PARs; PAC; Consultant agreements; and utility invoice/documentation	Documents requested: 4/15/12 Documents received: 5/21/12	Complete
Brighton Center, Inc <i>Expansion of LDAST</i>	Initial	03/23/12	PARs; PAC; Fiscal Control Procedures; Accrual Basis Accounting, audit fees; Consultant agreement; and Match	Documents requested: 3/27/12 Documents received: 5/31/12	Complete
Texas A & M University <i>Higher Education</i>	Initial	03/28/12	Building accessibility; GRI; PARs; PAC; and Consultant agreements	Documents requested: 3/28/12 Documents received: 5/24/12	Complete
Arc of San Angelo <i>Alternatives to Guardianship</i>	Follow-up	03/29/12	Equipment List; Lease; Donated Service; and PARs.	Documents requested: 3/29/12 Documents received: 4/11/12	Complete
Imagine Enterprise <i>Expansion of LDAST</i>	Initial	04/12/12	Building accessibility; GRI; PARs; Insurance Premiums; Service agreements; Photocopy; Lease Space; and Match	Documents requested: 4/12/12 Documents received: 5/22/12	Complete
Epilepsy Foundation <i>Health and Fitness</i>	Initial	04/26/12	Procedures for procurement and fiscal controls; PARs; PAC; Travel log; Equipment List; Lease; Service agreements; and Match	Documents requested: 5/15/12 Documents due: 6/15/12	Pending
One Star Foundation <i>Faith-based Symposium</i>	Initial	5/18/12	Organizational Policies and Procedures; Match; PAC; PARs	Documents requested: 5/18/12 Documents received: 5/18/12	Complete
TX Statewide Independent Living Council (TX SILC) <i>New LDAST</i>	Initial	5/17/12	Building accessibility and match.	Documents requested: 5/17/12 Documents received: 6/12/12 Additional documents due: 6/18/12	Pending
TX Statewide Independent Living Council (TX SILC) <i>Health and Fitness</i>	Initial	5/17/12	Building accessibility; PAC meeting minutes; and service agreements.	Documents requested: 5/17/12 Documents received: 6/15/12 Additional documents due: 6/17/12	Pending
NAMI <i>New LDAST</i>	Initial	5/29/12	PARs; Fiscal Control Procedures; Financial Ledgers; and Travel.	Documents requested: 5/29/12 Documents due: 6/17/12	Pending